

1           **New active exoskeleton reduces muscle activity and metabolic cost**  
2                                   **during repetitive lifting tasks**

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20  
21   **Highlights**

22   ExoBack reduces back muscles activity by 21-24% during repetitive lifting tasks

23   ExoBack reduces hip extensors activity by 26-39% during repetitive lifting tasks

24   Exoback reduces metabolic cost by 16-26 % during repetitive lifting tasks

25   ExoBack reduces exertion perception by 30-41% during repetitive lifting tasks  
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# New active exoskeleton reduces muscle activity and metabolic cost during repetitive lifting tasks

## Abstract

Manual-handling tasks, such as repetitive load lifting, put workers particularly at risk for low back pain. Exoskeletons are increasingly investigated as a promising strategy to relieve back muscles and passive tissues from excessive load. The present study investigated the effect of a novel exoskeleton on muscle activity and metabolic cost. Twenty-one healthy male participants, equipped with retro-reflective markers, electromyography sensors and a portable breathing gas analyzer, performed 40 lifting cycles both with and without exoskeleton using stoop and squat techniques. Exertion perception and users' impressions were also collected. The ExoBack reduced hip extensors activity by 26-39%, back muscles activity by 21-24%, metabolic cost by 16-26% and exertion perception by 30-41%. Other subjective outcomes suggested a fair acceptability among users. In conclusion, the present study suggested the ExoBack was helpful at relieving back load and fatigue and thus could be efficient at reducing the risk of LBP among manual-handling workers.

**Keywords:** Active exoskeleton; Metabolic cost; Muscle activity

## 1. Introduction

Low back pain (LBP) is a musculoskeletal disorder (MSD) affecting millions of people worldwide (Wu et al. 2020). As the leading cause of years lived with disability globally (Wu et al., 2020), and an economic burden of billions of dollars (Maher et al., 2017; Hartvigsen et al., 2018), it is acknowledged as a major public health issue. The patho-anatomical cause of LBP is often difficult to establish since many factors are involved in LBP incidence and recurrence (Maher et al., 2017; Hartvigsen et al., 2018; Vlaeyen et al., 2018), in addition to the complexity of the lumbar area. However, specific mechanical loadings may compromise the trunk stability (Jin, 2018) and endanger lumbar structures (McGill, 1997; Adams et al., 2004; Marshall and McGill, 2010; Petit and Roquelaure, 2015). In particular, some occupational activities, including heavy load carrying, repetitive lifting, and frequent bending, have been identified as risks factors (Marras, 1993; Ozguler, 2000; Coenen et al., 2014; Petit and Roquelaure, 2015; Ramon-Roquin et al., 2015; Amorim et al., 2019), thereby explaining that manual-handling workers are particularly exposed to LBP (Hartvigsen et al., 2018). Indeed, the prevalence of LBP in the workplace lasting at least 1 week in

58 the US, 1 day and 30 days in France was 25% (Ferguson et al., 2019), 43% and 17% (Ozguler, 2000)  
59 respectively. According to the French Institute in charge of the security and health in the workplace, LBP  
60 represents 20% of work-related injuries and 7 % work-related diseases, resulting in 11,5 million days of  
61 work lost per year, and it is the third cause for invalidity admission (INRS, Low back pain statistics,  
62 2018).

63 Prevention strategies aim at reducing the exposure to risk factors, however occupational interventions  
64 (Sowah et al., 2018) may not always be applicable or may overly hamper the productivity. Moreover,  
65 existing literature suggests they have only minor effect on LBP incidence (Sowah et al., 2018; Vlaeyen et  
66 al., 2018) although solid evidence is lacking. Those disappointing facts elicited a growing interest in  
67 exoskeletons, defined as “wearable device that augments, enables, assists, and/or enhances physical  
68 activity through mechanical interaction with the body” (Del Ferraro et al., 2020). In the workplace,  
69 occupational exoskeletons intend to alleviate work done by the upper body (Theurel et al., 2018;  
70 Huysamen et al., 2018a), legs (LegX®) or lower back (Atoun Model Y®, CrayX®, BackX®) while  
71 performing demanding handling tasks. The present work focuses on exoskeletons designed to assist the  
72 lower back while lifting loads.

73 Recently, an increased number of back-assist exoskeletons have been investigated and/or commercialized  
74 (De Looze et al., 2016; Toxiri et al., 2019; Del Ferraro et al., 2020; Kermavnar et al., 2021), with the  
75 expectations that they would decrease the risk of LBP by reducing metabolic load, muscle fatigue and  
76 spinal compression. They may be passive if they use elastic materials (springs, carbon fiber beams (Näf et  
77 al., 2018)) to release elastic energy stored during part of the movement, or they are called active if  
78 powered actuators provide the user with additional torque (De Looze et al., 2016). In both cases, the  
79 mechanism of action is an increased extension moment by applying forces either parallel (Whitfield et al.,  
80 2014) or perpendicular (Näf et al., 2018) to the body.

81 Most of the commercialized exoskeletons are passive because they may be lighter, faster to develop and  
82 simple to use. Moreover, they were shown to decrease back muscle activity during assembly (Bosch et al.,  
83 2016; Madinei et al., 2020a) and holding tasks (Bosch et al., 2016). However, even though a decrease in  
84 metabolic cost of lifting was shown (Baltrusch et al., 2019; Baltrusch et al., 2020a), the results of muscle  
85 activity were conflicting (Baltrusch et al., 2019; Koopman et al., 2020b). In addition, passive exoskeletons  
86 exhibited limits when other tasks were considered (Baltrusch et al., 2018), suggesting they might be task-  
87 specific and may hinder the user during other activities. The lack of versatility is also explained by the  
88 limited and non-adaptive assistance. Moreover, a recent review showed back muscle activity was reduced  
89 by 25% (range -6% -48%) on average with active exoskeletons compared to 18% (range -6% -35%) with

90 passive systems, similarly spinal compression and trunk flexion were reduced to a larger extent with  
91 active machines, even though caution is needed to compare studies (Kermavnar et al., 2021).

92 Promoting a better versatility, active exoskeletons have been gaining popularity, as shown by some major  
93 projects described in the literature such as Robo-Mate (Huysamen et al., 2018b; Koopman et al., 2019b;  
94 Lazzaroni et al. 2019; Poliero et al., 2019), HAL (Cyberdyne, Ibaraki, Japan) (Miura et al., 2018, 2020;  
95 Tan et al., 2019; von Glinski et al., 2019), H-WEX (Ko et al., 2018), APO (Chen et al., 2018) and other  
96 recent works (Nakamura et al., 2017; Wei et al., 2020). Active exoskeletons have already shown  
97 promising results since a decrease in back muscle activity of 6-48% has been measured, depending on the  
98 exoskeleton's design and lifting protocol (Kermavnar et al., 2021). Moreover, beneficial effects on  
99 performance parameters (Miura et al., 2018; Tan et al., 2019), and exertion perception (Huysamen et al.,  
100 2018) have been reported.

101 However, weight and control strategy are critical for active exoskeletons' efficiency as they ought to  
102 deliver the right assistance at the right time without interfering with the user's motion and intention. Their  
103 growing complexity, such as EMG-based control strategy systems requiring muscle activity monitoring  
104 (Poliero et al., 2019; Tan et al., 2019, van Glinski et al., 2019; Miura et al., 2020), may be  
105 counterproductive since it demands more developing time and may result in systems difficult to use in the  
106 field. Similarly, control strategy based on angular acceleration might better follow intention but require  
107 additional IMU and may not entail significant result compared to a simple inclination-based strategy  
108 (Lazzaroni et al. 2019). Therefore, a single actuator system using a simple inclination-based strategy  
109 might be the right trade-off between efficiency and acceptability.

110 Most studies investigating exoskeletons 'efficiency measured back muscles activity but only a few passive  
111 (Whitfield et al., 2014; Baltrusch et al., 2019; Baltrusch et al., 2020a, Del Ferraro et al., 2020; Madinei et  
112 al., 2020b; Kermavnar et al., 2021) and only one active (Wei et al., 2020) exoskeletons, investigated  
113 metabolic cost, although it provides valuable insight about fatigue induced by repetitive tasks, previously  
114 shown to increase the risk of LBP (McGill, 1997). The present study investigated a novel active  
115 exoskeleton undergoing the final stage of development. The ExoBack provides assistance adapted to the  
116 user using an inclination-based control strategy. The purpose of this study was to assess the effects of the  
117 ExoBack on muscle activity and metabolic cost during repetitive lifting tasks using two commonly used or  
118 recommended lifting techniques, i.e. stoop and squat. Muscle activity of the lower back and legs and  
119 metabolic cost were measured by surface electromyography (sEMG) and indirect calorimetry respectively.  
120 In addition, trunk flexion angle and angular speed and extension moment were calculated using cinematic  
121 data. Finally, participants' impressions were collected in order to get a sense of acceptability. It was  
122 hypothesized that 1) the exoskeleton would efficiently reduce muscular and metabolic fatigue during

123 repetitive lifting using both stoop and squat techniques and 2) the exoskeleton, illustrating a trade-off  
124 between control strategy and simplicity, would show a good acceptability. To our knowledge, it is the first  
125 time such a comprehensive study, including muscle activity and metabolic cost measurements, as well as  
126 subjective outcomes assessment, on twenty one participants, is performed on the ExoBack, an active  
127 exoskeleton in final stage of development.

128

## 129 **2. Material and Methods**

### 130 **2.1 Participants**

131 Twenty one healthy males voluntarily enrolled in the study. They were free from LBP or any other  
132 musculoskeletal condition and did not have any back or lower limb pain in the previous 6 months. The  
133 age, height, weight of the participants were  $23\pm 3$  yrs,  $176\pm 8$  cm and  $72\pm 9$  kg respectively. They were  
134 orally instructed, and with a written note, of the design, and potential risks of the study. All participants  
135 provided a signed informed consent before starting the experiments. The study was approved by the Est-II  
136 research ethics committee (# 20.12.07.60216).

### 137 **2.2 Study design**

138 All participants were appointed to come to the biomechanics lab at the Institut Regional de Médecine et  
139 d'Ingénierie du Sport (IRMIS) on two separate occasions. During the training session they familiarized  
140 with the exoskeleton and the lifting techniques, stoop (flexion extension with quasi straight legs) and squat  
141 (flexion extension with legs flexed 90 degrees). In particular, they practiced the execution technique with  
142 a load gradually increased until 25% of body mass at a metronome pace. The training session lasted  
143 approximately 45 minutes.

144 During the experimental session, each participant was equipped with the sEMG sensors, the retro-reflected  
145 markers and a portable breathing gas analyzer to measure muscle activity, trunk inclination, and oxygen  
146 consumption, respectively. Care was taken to avoid any contact with the exoskeleton at any time. Each  
147 subject performed stoop and squat activities for both conditions (with and without exoskeleton) in a  
148 randomized order. The randomization determined first the with/without condition and then the stoop/squat  
149 condition for each, in order to avoid several exoskeleton donning/doffing. Positioned on force plates, each  
150 participant performed 40 repetitions of each symmetric lifting cycle with a frontal load of 25% of body  
151 mass at a pace of 10 cycles/minute imposed by a metronome. A lifting cycle started by a flexion without  
152 load, followed by an extension with load, a flexion with load and an extension without load. Three to five

153 minutes of rest, required for the oxygen consumption to go back to rest levels, were observed between  
154 activities and conditions to avoid fatigue. The second session lasted approximately two hours.

### 155 **2.3 The active exoskeleton**

156 The exoskeleton tested in the present study was the Exoback (RB3D, France) (fig.1) developed to assist  
157 hip extension without hampering the movement. This novel active exoskeleton is composed of a single  
158 actuator unit providing a maximum theoretical torque of 73 Nm. The actuation architecture consists of a  
159 brushless motor with an ultra-reversible reduction and a cable connecting right and left hip joints. Thanks  
160 to the inertial control unit, the actuator adapts the assistance to the user's intention of bending or load  
161 lifting providing resistant or driving torques accordingly. Torque amplitude is proportional to the sine of  
162 the inclination angle and can be adapted to the user's need, although a standard setting was used in the  
163 present study. Walking and climbing stairs are free thanks to a differential inserted on the cable route  
164 between the two hips. There are two additional actuated articulations in the back to support motion while  
165 avoiding contact with the rigid back structure. The exoskeleton's attachment to the user has been designed  
166 in order to maximize comfort. The mass of the exoskeleton is 8.4 kg.

167 [insert figure 1, color should be used]



168

169 Figure 1. A subject wearing the ExoBack and all the measuring equipment.

### 170 **2.5 Surface electromyography**

171 Muscle activity data were collected during the last minute using 10 Trigno (Delsys, Natick, MA, USA)  
172 wireless sensors. Skin was shaved, gently abraded/scrubbed and cleaned with alcohol before all sEMG  
173 sensors were placed bilaterally on 5 muscle pairs following SENIAM recommendations (Seniam

174 recommendations): Longissimus (LGI), Multifidus (MLTI), Gluteus Maximus (GL), Biceps Femoris (BF)  
175 and Rectus Femoris (RF). All signals were recorded at a rate of 1000 Hz.

176 Data processing was performed using custom routines in Octave free software (GNU Octave version  
177 5.2.0©). All data were band pass filtered (Butterworth 2<sup>nd</sup> order 20-500Hz), full-wave rectified and low-  
178 pass filtered (Butterworth 4<sup>th</sup> order 2.7Hz) to extract the linear envelop. Five cycles over the last 40  
179 seconds of each trial were averaged to obtain an ensemble curve, later used to calculate the area under the  
180 curve (iEMG in uV.s) over the whole cycle and during separated flexions and extensions.

## 181 **2.6 Motion analysis**

182 Motion analysis was performed using a 12 cameras (4-Raptor) motion capture system (Motion Analysis,  
183 Rohnert Park, CA, USA) to record retro-reflective markers position (100 Hz) in Cortex software (Motion  
184 Analysis, Rohnert Park, CA, USA). Markers were placed on anatomical landmarks using a Helen Hayes  
185 markerset (Collins et al., 2009), replacing the shank and thigh wand markers with medial epicondyles and  
186 malleoli. A static trial was acquired at the beginning of each condition.

187 Cinematic data (trunk angles), synchronized with EMG data, were exported and Octave custom routines  
188 were used to derive total trunk inclination normalized to the flexion extension cycle. Angular speeds and  
189 accelerations were calculated, and the total flexion moment at the hip was derived.

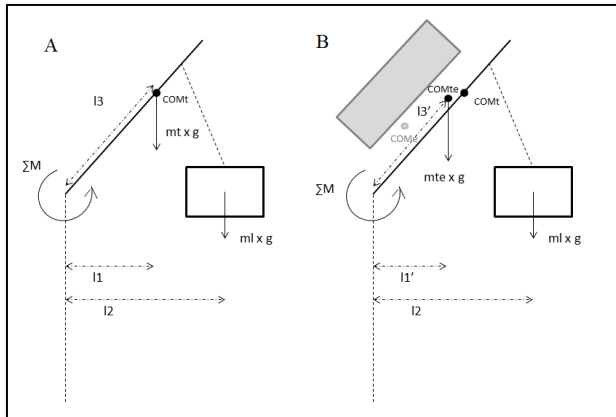
## 190 **2.7 Metabolic cost**

191 A portable breathing gas analysis system (Metamax 3B, Cortex, Leipzig, Germany) was used to measure  
192 metabolic cost by analyzing inhaled and exhaled gases. Data were recorded in the associated software  
193 (MetaSoft Studio) and exported in .xlsx format. The change in oxygen consumption rate due to the  
194 different tasks, defined as metabolic cost in the present study, was calculated on the plateau detected  
195 during the last 30 seconds of exercise.

## 196 **2.8 Extension moment**

197 Total extension moment ( $\sum M$ ) was calculated for both tasks using a simple model (fig.2).

198 [insert figure 2]



199

200 Figure 2. Model used to calculate extension moment. A. without exoskeleton. B. With exoskeleton.

201 The balance of moments was used at the hip:  $\Sigma M = I\alpha$  (eq.1)

202 Where  $\Sigma M$  is the sum of all moments involved in the trunk extension,  $I$  is the moment of inertia of the  
 203 trunk at the hip, and  $\alpha$  is the angular acceleration at the hip derived from the inclination measured by  
 204 motion analysis.

205 Considering that the trunk's weight, the load and the muscle activity contribute to the total moment of  
 206 extension, eq.1 can be written:  $M_{muscle} = -M_{com} - M_{load} + I\alpha$  (eq.2)

207 With  $M_{com} = m_t \times g \times l1$  (eq.3),  $m_t$  the mass of the trunk,  $g$  the gravity acceleration constant ( $9.81 \text{ m/s}^2$ ) and  
 208  $l1$  the lever arm between the center of mass and the hip center of rotation.

209 And  $M_{load} = m_l \times g \times l2$  (eq.4),  $m_l$  the load mass,  $g$  the gravity acceleration constant ( $9.81 \text{ m/s}^2$ ) and  $l2$  the  
 210 lever arm between the load and the hip center of rotation.

211 All variables were adapted when stoop with exoskeleton was considered, and the moment of extension  
 212 due to muscle or to muscle + exoskeleton was compared between both conditions. Considering that the  
 213 moment provided by the exoskeleton was saturated at  $0.8 \times 72 \text{ N.m}$  during the peak total extension  
 214 moment, the rest was due to muscle and was compared to the extension moment applied in the condition  
 215 without exoskeleton.

216 **2.9 Subjective outcomes**

217 A questionnaire was used to collect exertion perception using a Borg scale (CR10) after each activity, to  
 218 compare conditions with and without exoskeleton.



219 For the trials with exoskeleton, a body map with a similar scale was used to assess local discomfort, and  
220 questions using 100 mm visual analog scales (VAS) were asked to the participant to assess back relief,  
221 interference as well as ease to don/doff/adjust/use and usefulness of the machine.

## 222 **2.10 Statistical analysis**

223 The statistical analysis was performed using Statistica© (version 7, StatSoft Inc., Tulsa, OK, USA).  
224 Normality of the data was tested using a Shapiro-Wilk test. In case of normal distribution, a student test  
225 was performed to compare the without/with conditions for each lifting technique separately. Otherwise, a  
226 non-parametric Wilcoxon test was preferred. The threshold for significance was  $p < 0.05$ .

## 227 **3. Results**

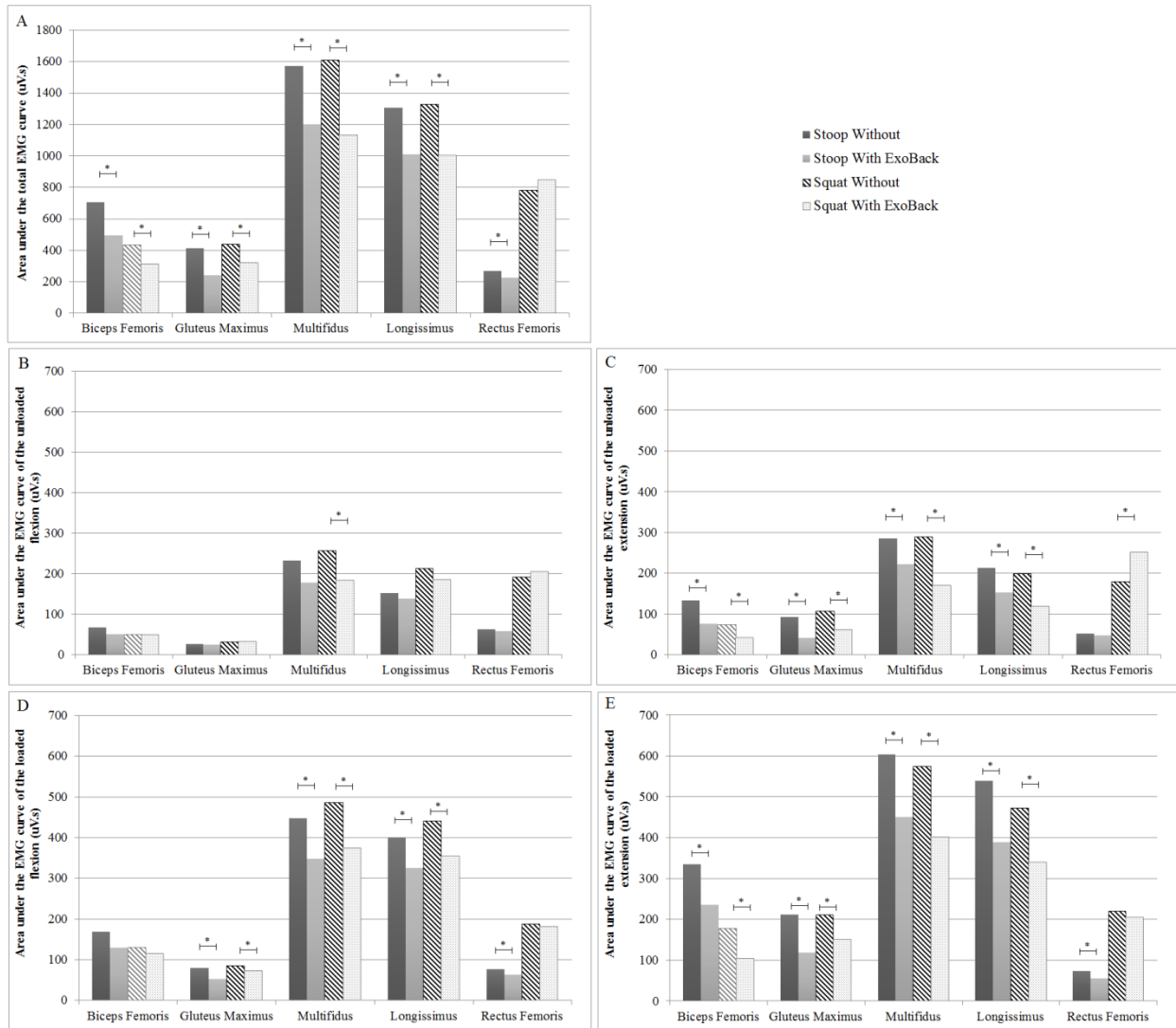
### 228 **3.1 Trunk angle and angular speed**

229 Peak trunk angle was significantly reduced when using the exoskeleton for stoop ( $136.5 \pm 9.7$  deg vs.  
230  $140.7 \pm 9.3$  deg, -3%,  $p = 0.008$ ) and squat ( $136.1 \pm 9.3$  deg vs.  $141.7 \pm 8.7$  deg, -4%,  $p = 0.005$ ) tasks.  
231 However, the angular speed was not significantly affected by the exoskeleton's wear neither for stoop  
232 ( $132.2 \pm 22.9$  deg/s vs.  $124.4 \pm 20.7$  deg,  $p = 0.07$ ) nor for squat ( $133.7 \pm 19.8$  deg vs.  $130.4 \pm 18.1$  deg,  
233  $p = 0.493$ ) tasks.

### 234 **3.2 Surface electromyography**

235 The areas under the curve (iEMG) of the whole ensemble curve and of each cycle part are presented in  
236 figure 3.

237 [insert figure 3]



238  
 239 Figure 3. Integrated EMG (uV.s) for A. the whole lifting cycle B. the unloaded flexion C. the unloaded  
 240 extension D. the loaded flexion E. the loaded extension. \* p<0.05

241  
 242 For the stoop activities the area under the curve of the total cycle was significantly higher without the  
 243 exoskeleton for BF (704±381 uV.s vs 493±208 uV.s, p=0.002), GL (411±183 uV.s vs 239±95 uV.s,  
 244 p<0.001), MLTI (1571±624 uV.s vs 1201±417 uV.s, p=0.005), LGI (1305±666 uV.s vs 1008±496 uV.s,  
 245 p=0.001) RF (265±117 uV.s vs 225±91 uV.s, p=0.043). The decreases due to the exoskeleton were  
 246 26±20%, 39±16%, 21±23%, 22±18%, 10±37% for the BF, GL, MLTI, LGI and the RF respectively.

247 Regarding the flexions and extensions separately, the results were similar except that the decreases were  
248 not significant for all muscles during the unloaded flexion, for BF during the loaded flexion and RF during  
249 the unloaded extension.

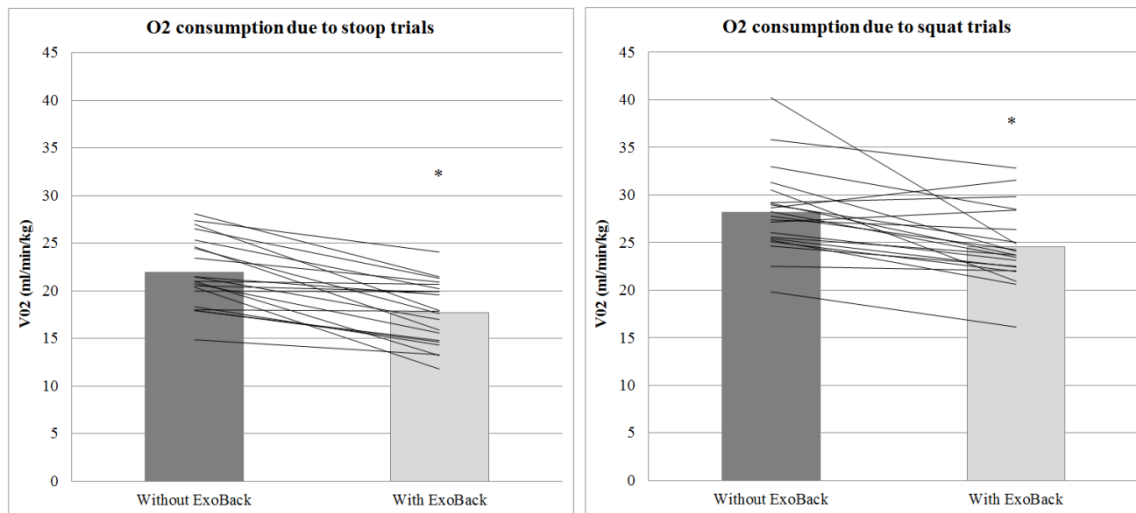
250 For the squat activities the area under the curve of the total cycle was significantly higher without the  
251 exoskeleton for the biceps femoris ( $434\pm157$  uV.s vs  $310\pm137$  uV.s,  $p<0.001$ ), the gluteus maximus  
252 ( $434\pm146$  uV.s vs  $317\pm194$  uV.s,  $p<0.001$ ), the multifidus ( $1608\pm543$  uV.s vs  $1131\pm369$  uV.s,  $p=0.004$ ),  
253 the longissimus ( $1324\pm665$  uV.s vs  $1000\pm609$  uV.s,  $p=0.006$ ) but not for the rectus femoris ( $p=0.269$ ).  
254 The decreases due to the exoskeleton were  $27\pm20\%$ ,  $30\pm21\%$ ,  $24\pm23\%$ ,  $21\pm26\%$ ,  $11\pm25\%$  for the BF, GL,  
255 MLTI, LGI and the RF respectively.

256 Regarding flexions and extensions separately, the results were similar except that the decreases were not  
257 significant for BF, GL, LGI and RF during the unloaded flexion, for BF and RF during the loaded flexion  
258 and for RF during the loaded extension. It is worth noticing that the area under the unloaded extension for  
259 RF is significantly increased with the exoskeleton.

### 260 **3.3 Metabolic cost**

261 The changes in oxygen consumption rate due to the stoop and squat tasks are presented in figure 4.

262 [insert figure 4]



263

264 Figure 4. Oxygen consumption rates due to stoop (A) and squat (B) activities. \*  $p<0.05$

265 The oxygen overconsumption due to exercise was significantly lower with the exoskeleton for both lifting  
266 techniques, i.e. stoop ( $17.7\pm3.3$  ml/min/kg vs  $21.9\pm3.6$  ml/min/kg,  $p<0.001$ ) and SQUAT ( $24.6\pm3.9$

267 ml/min/kg vs 28.2±4.5 ml/min/kg, p<0.001). Oxygen consumption rate decreased by 26±20% and  
268 16±16% for the stoop and squat techniques, respectively.

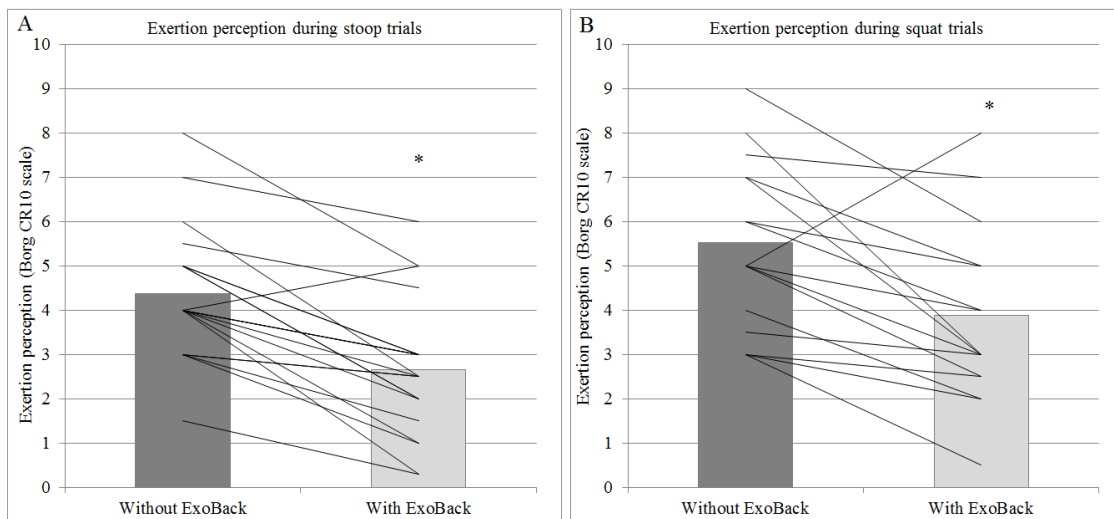
### 269 **3.4 Extension moment**

270 The moment due to muscle work was significantly lower with the exoskeleton for stoop (244±65 N.m vs  
271 284±54 N.m, p<0.001) and squat (207±69 N.m vs 246±62 N.m, p<0.001). Maximum moment due to  
272 muscle work decreased by 15±9% and 16±13% for the stoop and squat techniques, respectively.

### 273 **3.5 Subjective outcomes**

274 Exertion perception for stoop and squat tasks for both without and with conditions are presented in figure  
275 5.

276 [insert figure 5]



277

278 Figure 5. Exertion perceptions without and with exoskeleton for A. stoop and B. squat tasks. \* p<0.05

279 The exertion perception was significantly lower with the exoskeleton for both stoop (2.6±1.5 vs. 4.4±1.5  
280 p<0.001) and squat (3.9±1.7 vs. 5.5±1.7 p<0.001), which was a decrease of 41±27% and 30±28% for  
281 stoop and squat, respectively.

282 A very light to light discomfort was felt by 9 participants for stoop (score 2.4±1.4) and 13 for squat (score  
283 2.3±1.7) mainly at the shoulders and side of the hip respectively.

284 The participants' impressions about the exoskeleton are presented in table 1. On the overall the  
285 participants felt a back relief, particularly with stoop task (score 79 and 63 for stoop and squat

286 respectively,  $p=0.009$ ), with only little interference with the tasks they were doing (17 and 24 for stoop  
 287 and squat respectively). The exoskeleton was quite easy to use (score 84) even though it appeared less  
 288 easy to don/doff and adjust (score 60) for some participants. However, the participants thought that the  
 289 machine could be useful to workers that handle heavy loads frequently (score 81).

290 Table 1. Participants' impressions about the machine using both lifting techniques. They were asked to  
 291 answer on a 100 mm visual analog scale where the limits min and max were specified.

Questions	Score (mean±sd)
Did you feel a back relief during stoop tasks? (Not at all=0 to a lot=100)	79±20
Did you feel a back relief during squat tasks? (Not at all=0 to a lot=100)	63±27
Did the machine interfere with the stoop task you were doing? (Not at all=0 to a lot=100)	17±14
Did the machine interfere with the squat task you were doing? (Not at all=0 to a lot=100)	24±21
How easy was the use of the machine? (Not easy at all=0 to very easy=100)	84±13
How easy was the don/doff and adjustment of the machine? (Not easy at all=0 to very easy=100)	60±27
Do you think the machine could be useful to workers that handle heavy loads frequently? (Not usefull at all=0 to very usefull=100)	81±17

292

#### 293 **4 Discussion**

294 The present work aimed to assess a novel active exoskeleton in its final stage of development during  
 295 repetitive lifting tasks using surface electromyography and indirect calorimetry to measure its effect on  
 296 muscle activity and metabolic cost respectively. Motion analysis was used to assess the extension moment  
 297 required by each task and the participants' impressions were collected. The objective of this  
 298 comprehensive study was to assess the effect of the Exoback on mechanical and metabolic parameters  
 299 during repetitive lifting tasks. The major finding was that lower back and leg muscle activity and oxygen  
 300 consumption rate were significantly decreased with the exoskeleton for both stoop and squat lifting  
 301 techniques, thereby confirming our first hypothesis. So was the second hypothesis, since the participants  
 302 reported good general impressions explained by the exoskeleton's efficiency to relieve back load and  
 303 assist them in the tasks with only limited interference.

304 In the present study, muscle activity significantly decreased on the overall lifting cycle, in particular back  
 305 muscles decreased by 21-22% and 21-24% on average for stoop and squat respectively, suggesting that  
 306 the muscular fatigue was alleviated by the assistance provided by the exoskeleton. Previous studies on  
 307 exoskeletons showed also a decrease in lumbar activity of 6-48% and 6-35% for active and passive  
 308 exoskeletons respectively (Kermavnar et al., 2021). In particular for active exoskeletons, Ko et al. found  
 309 10.5% and 23.5% decreases in erector spinae activity for stoop and semi-squat respectively using H-WEX

310 (4.5 kg, single actuator) with an assistance of 45 N.m to lift a 15 kg load 10 times (Ko et al., 2018).  
311 Similarly, Koopman et al. asked ten male participants to lift a box of 15kg three times using Robo-Mate, a  
312 double-actuators exoskeleton of 11kg providing a maximum assistance of 40N.m. They found a  
313 significant decrease in lumbar activity of 16.2% and 23.3% for stoop and squat respectively using the  
314 inclination mode (Koopman et al., 2019b). Above results from (Ko et al., 2018) and (Koopman et al.,  
315 2019b) for stoop were lower than the decreases measured in the current study but they were similar for  
316 squat, suggesting that the provided assistance had the greatest effect for stoop, however, the machine's  
317 weight was no longer compensated when the task was squatting. Similarly, a recent study using a  
318 maximum assistance of 80 N.m to lift 10/20 kg found similar decreases (18.3/18.7% and 25.5/24.7% for  
319 the thoracic and lumbar erector spinae respectively) using a pneumatic back exoskeleton (Kermavnar et  
320 al., 2021). However, our protocol included some muscle fatigue which was shown to have an impact on  
321 muscle activity (Tan et al., 2019). Therefore, it is worth mentioning that comparisons with other studies  
322 need caution, indeed the large range of muscle activity decrease described in (Kermavnar et al., 2021) can  
323 be explained by differences in protocol, processing and calculation techniques (Butterworth filter, RMS,  
324 MVC normalisation, comparison of mean, peak or integrated EMG, reporting of mean or median values,  
325 ...). For instance, only a few studies reported integrated EMG, but Chen et al. compared only the  
326 transparent and assistive modes of APO (Chen et al., 2018), and von Glinski et al. reported a decrease of  
327 14% of integrated EMG at the right lumbar erector spinae with HAL but the participants used a freestyle  
328 technique (von Glinski et al., 2019).

329 Muscle activity measured on other muscles involved in trunk extension showed similar results since GL  
330 and BF activity decreased by 39% and 26% for stoop and 30% and 27% for squat. Similarly to the  
331 comparisons made for back muscles, Ko et al. found with H-WEX a decrease of 15.8% and 10.1% for  
332 stoop and 18.6% and 30% for semi-squat (Ko et al., 2018). They also looked at antagonist muscles and  
333 measured no significant difference for RF, unlike the results observed in this study for stoop where a 10%  
334 significant decrease was measured. Although the result was not significant for squat, the exoskeleton  
335 seemed to increase their activity, suggesting that the exoskeleton's weight might have a slight negative  
336 effect explained by the fact that RF worked harder to raise the COM of the system (participant +  
337 exoskeleton). However, the exoskeleton's mass seemed to have no effect during the stoop tasks,  
338 confirming our earlier comments. Interestingly, when the loaded and unloaded flexions and extensions  
339 were taken separately, we observed only one significant difference for the unloaded flexion suggesting  
340 that the exoskeleton offered no assistance, and did not interfere neither, in this first part of the cycle.  
341 However, the back muscle activity was significantly decreased during flexion holding the load, suggesting  
342 that the exoskeleton helped the back resisting the torque added by the load. Designed as assistance for hip  
343 extension, the loaded and unloaded extensions presented all significant decrease of muscle activity as

344 expected, except for RF, which, besides being not significant for squat during loaded extension, was  
345 significantly increased during unloaded extension, in agreement with our previous comment about the  
346 negative impact of the exoskeleton's inertial characteristics during squat. However, this potential side  
347 effect was not as high as reported by others (Kermavnar et al., 2021; Lanotte et al., 2020) and is expected  
348 to further decrease with the weight reduction foreseen in the next version of the ExoBack.

349 In line with the muscle activity results, the metabolic cost decreased significantly with the exoskeleton as  
350 the oxygen consumption rate due to exercise was decreased by 26% and 16% for stoop and squat  
351 respectively. In the literature, to our knowledge only one active exoskeleton was shown to reduce the  
352 metabolic rate (in Kcal/min\*kg) (by 18%) but it was only on 3 subjects (Wei et al., 20). On the contrary,  
353 several studies on passive exoskeletons reported decrease in metabolic cost (Del Ferraro, et al., 2020),  
354 however results were very different possibly due to differences in protocol and design, as well as in  
355 equations used to calculate metabolic cost (Del Ferraro et al., 2020). For instance, Baltrusch et al. showed  
356 a 18% decrease with SPEXOR (Baltrusch et al., 2020a) and 17% with high-cam LAEVO (but not  
357 significant with low-cam) (Baltrusch et al., 2019), VT Lowe's decreased the metabolic cost by 7.9%  
358 (Alemi et al., 2019), and finally (Madinei et al., 2020b) measured a decrease of 8-9% and 13-14% for  
359 Laevo and BackX respectively.

360 In the current study, the extension moment caused by the muscles significantly decreased by 15% and  
361 16% on average for stoop and squat respectively. In the literature, very few studies calculated the  
362 extension moments, Koopman et al. measured decreases of 13.7% and 12.4% in the subject moment for  
363 stoop and squat respectively using Robo-Mate, although they insisted it was both due to the assistance  
364 provided and a change in lifting behaviour (Koopman et al., 2019b). Unlike Koopman et al., although  
365 there was a small significant decrease of the trunk angle (-3% and -4% for stoop and squat respectively),  
366 the angular speed was not affected, suggesting that the participants bent a little less but without changing  
367 dramatically their lifting behaviour. Moreover, the decreased flexion angle might be considered as a  
368 beneficial effect of the exoskeleton protecting the passive tissues taking most of the load during maximum  
369 bending (McGill, 1997).

370 The perceived exertion was significantly decreased with the exoskeleton for both stoop and squat  
371 techniques, by 40% and 30% respectively. In the literature on active exoskeletons, only a few assessed  
372 subjective outcomes. Huysamen et al. investigated several subjective outcomes after Robo-Mate use and  
373 observed a significant decrease in perceived exertion for the trunk of 11.4% when lifting the 15kg box  
374 (Huysamen et al., 2018b). However, participants perceived local pressure, particularly on the thighs, and  
375 six participants out of ten rated the exoskeleton's usability above acceptable. In the present study, the  
376 participants assessed the machine as moderately easy to don/doff and adjust but easy to use. Participants

377 felt very light to light local discomfort, particularly on the side of the hip during squat tasks, as well as a  
378 strong back relief with only little interference, particularly using stoop technique. Some studies  
379 investigating passive exoskeletons reported users' impression and results depended highly on the tasks  
380 performed, the exoskeleton and the population tested (Baltrusch et al., 2018; Kermavnar et al., 2021). For  
381 instance, a first study with Laevo and 18 young healthy participants resulted in an increase in perceived  
382 difficulty for most of the tasks, including lifting, and a general discomfort median between 3.5 and 4 out  
383 10 for this same task (Baltrusch et al., 2018) whereas a similar study using SPEXOR in a population of  
384 workers and some with a history of LBP, the perceived task difficulty of lifting was greatly decreased, so  
385 did the general low back discomfort (Kermavnar et al., 2021). However, both pointed out a mitigated  
386 impression on efficacy. In the present study, the participants assessed the exoskeleton as useful for  
387 workers with a score of 81, suggesting that not only the weight, but also the design, have a great  
388 importance on acceptability, and participants' opinion should be part of all exoskeleton's assessment.

389 Potential limitations should be acknowledged in the present study. Despite the great care taken by the  
390 investigators and the participants to avoid touching the sensors, some EMG data were lost during the  
391 experiments, however this was a minority and did not impact the conclusions. The actual extension  
392 moment provided by the exoskeleton was not measured although actual and commanded moments could  
393 differ as shown elsewhere (Koopman et al., 2019b). Moreover, the passive structures (tendons,  
394 ligaments,...) at maximum flexion was not taken into account, and may have resulted in overestimated  
395 peak muscle moment. Further measurements of actual machine assistance during the whole  
396 flexion/extension cycle should be performed to confirm the strong effect of the exoskeleton on the muscle  
397 moment. The present study used laboratory settings with a homogeneous population performing simple  
398 standardized tasks, as we considered this step necessary to collect reliable objective measurements.  
399 Further investigations should focus on real-life situations to assess actual workers' impressions. A  
400 longitudinal study might also account for the effect of chronic ExoBack's use on the long-term risk of  
401 LBP.

402

## 403 **5 Conclusion**

404 In conclusion, the here presented exoskeleton allowed to significantly reduce the metabolic cost and back  
405 muscle activity of twenty-one healthy participants performing repetitive lifting task using both stoop and  
406 squat techniques, without changing dramatically their lifting behavior. The exoskeleton's inertial aspect  
407 had a slight negative impact on the antagonist leg muscles although it was not reflected by the metabolic  
408 cost measurements. However, further refinement ought to sort this out as the weight is expected to greatly



409 decrease. In line with the decrease in muscle activity, the extension moment applied by the subject was  
410 significantly reduced during stoop and squat tasks, which should be further assessed by monitoring the  
411 actual machine assistance. Perceivable improvements were reported by the participants regarding the  
412 exertion of each task. Despite some light local discomfort and slight interference, participants described  
413 the assistance as efficient to relieve back load, particularly during stoop lifting. They mostly thought the  
414 exoskeleton would be useful to workers, which will be further investigated by works addressing this need  
415 for real-life situations. Based on muscular and metabolic measurements, this study suggested the ExoBack  
416 was helpful at relieving back load and fatigue and thus the risk of LBP among manual-handling workers.

417

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